

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: C.-Y. Lee

Attorney Docket No.: DYNA117748

Application No.: 09/932,280

Group Art Unit: 2611

Filed: August 17, 2001

Title: PERSONAL WEB GUIDE SYSTEM AND METHOD FOR  
AUTOMATICALLY DISPLAYING WEB INFORMATION

TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION/  
REQUEST FOR RECORDATION OF ASSIGNMENT

Seattle, Washington 98101

November 20, 2001

TO THE COMMISSIONER FOR PATENTS:

A. Transmitted herewith are the following:

- X 1. An executed Combined Declaration and Power of Attorney.
- X 2. An Assignment of the invention to DynaLab, Inc. A Recordation Form Cover Sheet prepared in accordance with 37 C.F.R. § 3.31 is attached to the Assignment, along with a separate check for the recordation fee. Please record this Assignment in accordance with 37 C.F.R. § 3.11.
- X 3. A copy of the Notice to File Missing Parts.
- \_\_\_\_\_ 4. The following additional documents: .
- X 5. Small entity status is asserted for this matter.

COMPUTATION OF FEE

	Number Filed		Number Extra		Rate	
Basic Fee						370
Total Claims	33 - 20	=	13	x	9	= 117
Independent Claims	6 - 3	=	3	x	42	= 126
Multiple Dependent Claim				+		
Surcharge				+	65	65
Extension of Time				+		
<b>TOTAL</b>						<b>\$678</b>

LAW OFFICES OF  
CHRISTENSEN O'CONNOR JOHNSON KINDNESS<sup>LLC</sup>  
1420 Fifth Avenue  
Suite 2800  
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206.682.8100



B. Fees Enclosed

Enclosed is our Check No. 133768 in the amount of \$678.00 to cover the requisite fees.

C. Additional Fee Charges or Credit for Overpayment

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.18 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 03-1740. This authorization also hereby includes a request for any extensions of time of the appropriate length required upon the filing of any reply during the entire prosecution of this application. A copy of this document is enclosed.

Respectfully submitted,

CHRISTENSEN O'CONNOR  
JOHNSON KINDNESS<sup>PLLC</sup>

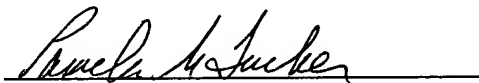


Shoko I. Leek  
Registration No. 43,746  
Direct Dial No. 206.695.1780

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid and addressed to the Commissioner for Patents, Washington, D.C. 20231, on the below date.

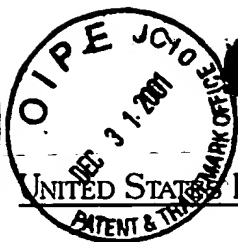
Date:

November 20, 2001



SIL:pt

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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/932,280	08/17/2001	Chen-Yu Ku	DYNA117748

CONFIRMATION NO. 3103

26389  
CHRISTENSEN, O'CONNOR, JOHNSON, KINDNESS, PLLC  
1420 FIFTH AVENUE  
SUITE 2800  
SEATTLE, WA 98101-2347

## FORMALITIES LETTER



\*OC000000006583989\*

Date Mailed: 09/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$237.
 

<ul style="list-style-type: none"> <li>\$117 for 13 total claims over 20.</li> <li>\$120 for 3 independent claims over 3.</li> </ul>	01/03/2002 AWONDAF1 00000072 09932280 01 FC:201 370.00 OP 02 FC:205 65.00 OP 03 FC:202 126.00 OP 04 FC:203 117.00 OP
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- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 657.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets

must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

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*A copy of this notice MUST be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE